

Entities life cycle

Operations

1

Entity set-up

Administrative, Accounting and Governance Services

- Fund Set-up
- UCITS, Management company and AIFM Set-up
- Fund and SPV administration and Preparation of Financial Statements
- Corporate Secretarial Services

Anti Money Laundering / Know Your Client

- Comprehensive AML Support Services - Regulatory, Effectiveness and Efficiency Support

Compliance and Risk Management Services

- Compliance Function, Compliance Monitoring Hub, Risk Function

Fund Distribution Services

- Pre-marketing Notification and Monitoring
- Market Intelligence
- Exchange Traded Fund (ETF) Listing

Tax Services

- Tax Function Support
- Tax and Regulatory Fund Structuring
- Pillar 2
- Corporate Tax and VAT Consulting
- Personal Tax Consulting and Compliance

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Launch

Actuarial Services

- Actuarial and Risk Modelling Services
- Solvency II Investor Reporting
- Solvency II Optimisation
- Valuation and Risk Assessment of ILS

Administrative, Accounting and Governance Services

- Due Diligence Services
- Service Provider Selection and Onboarding
- Automation of Due Diligence Questionnaire responses using GenAI
- Internal Audit Services
- Operational Support Services
- Corporate Secretarial Services

Anti Money Laundering / Know Your Client

- AML/KYC Remediation of all types of Business Relationships (clients, investors, delegates, distribution, assets)
- Comprehensive AML Support Services - Regulatory, Effectiveness and Efficiency Support
- AML Hotline - Continuous Access to our AML/CTF Subject Matter Experts
- Comprehensive AML Support - Services - Regulatory, Effectiveness and Efficiency Support

Assurance Services

- Third Party Assurance (i.e. Controls Report)

Compliance and Risk Management Services

- Compliance Function, Compliance Monitoring Hub, Risk Function

Fund Distribution Services

- Marketing and Pre-marketing Material Compliance
- UCITS/AIF - Advice on Initial Entry and Ongoing Maintenance
- Legal Entity Identifier (LEI)

IT Services

- Software Vendor Selection and Implementation
- Process Automation and Digitalisation

Regulatory Reporting

- PRIIPs KID, UCITS KIID, European PRIIPs Template and European MiFID Template
- AIFMD Reporting

Strategic and Operational Consulting Services

- Strategy and Market Analysis
- Target Operating Model Design
- Operations Improvement and Implementation
- Project Management
- Blockchain and Digital assets

Tax Services

- Ongoing Tax Consulting
- Transfer Pricing
- Pillar 2
- Corporate Tax and VAT Consulting
- VAT Compliance
- Personal Tax Consulting and Compliance
- Subscription Tax

Training Services

- Standard or tailor-made sessions covering a wide range of topics

Valuation Services

- Business and Intangibles Valuation Services
- Valuation Operating Model and Processes Advisory
- Portfolio Valuation Services
- Financial Modelling Services

Actuarial Services

- Actuarial and Risk Modelling Services
- Solvency II Investor Reporting
- Solvency II Optimisation
- Valuation and Risk Assessment of ILS

Administrative, Accounting and Governance Services

- Due Diligence Services
- Service Provider Selection and Onboarding
- Automation of Due Diligence Questionnaire responses using GenAI
- Fund Set-up
- UCITS, Management company and AIFM Set-up
- Fund and SPV administration and Preparation of Financial Statements
- Operational Support Services
- Depositary Assistance
- Corporate Secretarial Services
- Internal Audit Services
- Corporate Finance
- Forensic Services
- Payroll Services
- Whistleblowing Alert Management

Anti Money Laundering / Know Your Client

- AML/KYC Remediation of all type of Business Relationships (clients, investors, delegates, distribution, assets)
- RC Support Services
- EU AML Package - Upskilling, Impact, Assessment, Target Operating Model and Implementation
- Comprehensive AML Support Services - Regulatory, Effectiveness and Efficiency Support
- AML/CTF/CPF Delegation Oversight - Distribution, Transfer Agent or Portfolio Manager
- AML Hotline - Continues Access to our AML/CTF Subject Matter Experts
- Transaction Monitoring and Sanction Screening enhancing your operational efficiency and regulatory compliance
- Asset Risk Scoring - Support in the AML/CTF/CPF Scoring of your Alternative Assets
- ISAE 3000 on AML as a Subject Matter or Agreed Upon Procedures Testing Investor File Compliance
- AML/CTF Annual Policy, Procedure, Risk Assessment and Risk Appetite Framework Updates

Assurance Services

- Financial Statements Audit
- Merger, Redemption in kind and Contribution in kind Assurance Report
- Special Report Issuance
- CSSF Circular 24/856 - Review of NAV Error/Compliance Breaches and Related Services
- Limited review on Financial Information
- Third Party Assurance (i.e. Controls Report)
- Separate Report Preparation (CSSF Circular 21/789 and CSSF Circular 21/790)
- AML Report Preparation (CSSF Circular 21/788)

Compliance and Risk Management Services

- Asset Management Compliance Workbench
- Risk Management Reporting
- DORA Third-party Risk Management
- Data Protection Officer (DPO) as a Service
- "Chief Information Security Officer" Services
- Oversight as a Service-Digital Monitoring Hub
- CSSF mock review
- Gap Analysis and Remediation on Regulatory Topics (e.g. DORA, CSSF circulars, etc.)
- Compliance Function, Compliance Monitoring Hub, Risk Function
- Real Estate Certifications

ESG Services

- Limited Assurance on SFDR Reporting
- Limited Assurance on Compliance with ESMA Guidelines on Fund Names
- Principal Adverse Impact tool
- ESG Risk Assessment
- CSRD Review for Corporate Entities
- SFDR Peer Benchmark
- ESG Trainings
- DNSH (Do No Significant Harm) Assessment
- Sustainability Framework Assessment
- EU Taxonomy Alignment Assessment
- Limited Assurance on Sustainability KPI
- European ESG Template
- SFDR Reporting Preparation
- ESG Market and Stakeholder Sentiment

Fund Distribution Services

- Marketing and Pre-marketing Material Compliance
- Market Intelligence
- Distributor Due Diligence
- European Facilities Agent Services
- Legal Entity Identifier (LEI)
- Exchange Traded Fund (ETF) Listing

IT Services

- IT Regulatory Services
- Data Management
- Software Vendor Selection and Implementation
- Process Automation and Digitalisation

Regulatory Reporting

- PRIIPs KID, UCITS KIID, European PRIIPs Template and European MiFID Template
- AIFMD Reporting
- 3W Regulatory Watch
- Financial Statements Preparation
- MMF - Money Market Fund Reporting
- GroMiKV Reporting
- QMV Reporting
- Solvency II Reporting
- VAG Reporting
- COVIP Reporting
- CRR Reporting
- KVG-Schnittstelle Reporting
- Hong Kong - Distribution Reporting

Strategic and Operational Consulting Services

- Strategy and Market Analysis
- Target Operating Model Design
- Operations Improvement and Implementation
- Project Management
- Performance Reporting
- AWM and ESG Research Centre
- Blockchain and Crypto-Assets

Tax Services

- Ongoing Tax Consulting
- Portfolio Tax Scanner
- Tax Function Support
- International Tax View
- 3W Tax Watch
- Tax and Regulatory Fund Structuring
- Tax Compliance and Filing Monitoring
- Global Withholding Tax Reclaims
- FAIA / SAF-T
- Tax Information Reporting - DAC 6
- Transfer Pricing
- Pillar 2
- FATCA/CRS/U.S. Withholding Tax
- Corporate Tax Returns
- Corporate Tax and VAT Consulting
- VAT Compliance
- Personal Tax Consulting and Compliance
- Subscription Tax
- German Source Income
- Investor Tax Reporting
- French PEA Tax Reporting

Training

- Standard or tailor-made sessions covering a wide range of topics

Valuation

- Business and Intangibles Valuation Services
- Valuation Operating Model and Processes Advisory
- Portfolio Valuation Services
- Financial Modelling Services

Liquidation

Administrative, Accounting and Governance Services

- Fund Set-up
- UCITS, Management company and AIFM Set-up
- Liquidator Services

Anti Money Laundering / Know Your Client

- Transaction Monitoring and Sanction Screening enhancing your operational efficiency and regulatory compliance

Assurance Services

- Commissaire à la liquidation

Tax Services

- Tax Function Support
- VAT Consulting